

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Boris Tabakoff and Larry Martinez for Genetic Diagnosis of Depression.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date September 24, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV 329476837 US addressed to: Box Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Karen B. Webber*

Karen B. Webber

1. Type Of Application

This new application is for a(n)

☒ Original (nonprovisional)

2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

46 Pages of Specification  
5 Pages of Claims  
1 Page of Abstract  
4 Sheets of Formal Drawings

4. Additional Papers Enclosed

☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.  
☒ Certificate Re: Sequence Listing

5. Declaration

☒ Enclosed (unexecuted)

6. Inventorship Statement

The inventorship for all the claims in this application is:

☒ the same

7. Language

☒ English

8. Assignment

☒ An assignment of the invention to The Regents of the University of Colorado, a body corporate is attached (unexecuted).

☒ Form PTO-1595 will follow.

9. **Fee Calculation (37 C.F.R. § 1.16)**

☒ Regular application

**CLAIMS AS FILED**

Number Filed	Number Extra	Rate	Basic Fee - \$750.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	32 - 20 =	12 × \$18.00 =	\$216.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$84.00 =	\$84.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$280.00 =		\$0.00

**Filing Fee Calculation** **\$1050.00**

10. **Small Entity Statement(s)**

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

**Filing Fee Calculation (50% of above)** **\$525.00**

11. **Fee Payment Being Made At This Time**

☒ Enclosed

☒ basic filing fee **\$525.00**

**Total Fees Enclosed** **\$525.00**

12. **Method of Payment of Fees**

☒ Check in the amount of **\$525.00**

13. **Authorization To Charge Additional Fees and Credit Overpayment**

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: **08-1290**. An originally executed duplicate of this transmittal is enclosed for this purpose.

14. **Power of Attorney by Assignee**

☒ Enclosed (unexecuted)

15. **Return Receipt Postcard**

☒ Enclosed

Dated: September 24, 2003



Christine A. Lekutis  
Registration No.: 51,934

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☒ **Incorporation By Reference Of Added Pages**

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)  
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

16. **Relate Back 35 U.S.C. §§ 119(e), 120, 121 and 365(c)**

- ☒ Amend the Specification by inserting before the first line the sentence: "The present application claims priority to U.S. Provisional Patent Appln. No. 60/413,318 filed on September 24, 2002."